Simulink® Response Optimization™ 3 User's Guide





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Simulink® Response Optimization™ User's Guide

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Choosing Signals to Constrain

In this section...

"Constraining Signals in Simulink® Models" on page 1-3

"Attaching Signal Constraint Blocks" on page 1-3

Constraining Signals in Simulink® Models

Simulink® Response OptimizationTM software works by adjusting parameters in a Simulink® model so that chosen response signals within the system behave in a specified way. You choose the signals that you want to shape or constrain by attaching Signal Constraint blocks to them. The constraints on the behavior of the response signals and the tuned parameters are set within the Signal Constraint blocks.

The first step in the response optimization process is to choose which signals in your Simulink model you would like to constrain and to attach Signal Constraint blocks to these signals.

Attaching Signal Constraint Blocks

Once you have selected signals to constrain, you need to attach a Signal Constraint block to each of these signals. You can find the Signal Constraint block under Simulink Response Optimization category within the Simulink Library Browser. Alternatively, you can open Simulink Response Optimization library by typing srolib at the MATLAB® prompt.

To attach a Signal Constraint block to a signal in your model, drag the block from the block library into the model and join the signal line to the inport of the Signal Constraint block. A model can include multiple Signal Constraint blocks, and you can attach the Signal Constraint block to any signal, including signals within subsystems of your model.

Note The Signal Constraint block is not an outport block of the system and does not interfere with a linearization of your model (as opposed to blocks in the Nonlinear Control Design Blockset, the previous name for this product, which were outport blocks).

Creating a Response Optimization Project

Double-click a Signal Constraint block to open the Signal Constraint window associated with it. Within this window you can specify the constraints imposed on the signal, along with other information needed for the response optimization project.

Although you must specify the constraints for each signal individually within each Signal Constraint block, you only need to set the remaining settings such as tuned parameters and optimization settings within one Signal Constraint window as they apply to the whole project.

Opening a Signal Constraint window, automatically creates a response optimization project. The project consists of the following information:

- Constraints on all signals that have Signal Constraint blocks attached
- Tuned parameters in the system and specifications for these parameters such as initial guesses and maximum and minimum values
- Uncertain parameters in the system and specifications for these parameters
- Optimization and simulation setup options

A response optimization project exists within a single model; there are no cross-model projects. Additionally, although you can create different sets of constraints and tuned parameters and save these as different response optimization projects, you can only associate one project with the model at any time.

The remaining steps involved in specifying the settings of a response optimization project are discussed in the following sections:

- "Specifying the Desired Response" on page 1-9
- "Specifying Tuned Parameters in the Model" on page 1-26

To save the project for use in a later session, see "Saving and Reloading Response Optimization Projects" on page 1-6.

Saving and Reloading Response Optimization Projects

In this section...

"Saving Response Optimization Projects" on page 1-6

"Saving Additional Settings" on page 1-7

"Reloading Response Optimization Projects" on page 1-8

Saving Response Optimization Projects

Saving a response optimization project allows you to reuse your settings during a later session. These settings include constraint bounds, tuned and uncertain parameters, and settings for optimization and simulation. Additional settings such as the position of the Signal Constraint window, axis limit settings, and the name and location of the project are saved with the Simulink® *model*.

To save the constraints and data of the response optimization project to a workspace variable or a file, select **File > Save** from a Signal Constraint window in the model. Within the Save Project dialog box, you can save the project as a

- **MATLAB** workspace variable: Enter the name of a MATLAB® workspace variable, and then click **OK** to save the project. This is obviously a temporary solution as the project will no longer exist once you terminate your MATLAB session.
- **Model workspace variable**: Enter the name of a model workspace variable, and then click **OK** to save the project. This method is convenient as the project is stored with the model and you do not need to worry about keeping a separate file or variable available.
- **MAT-file**: Enter a filename, and then click **OK** to save the project. Alternatively, you can save the project to an existing file by clicking the button to the right of **MAT-file** and selecting a file from the directory. Saving the project as a MAT-file is convenient when you want to save multiple projects for a single model.

To automatically reload the project when reopening the Simulink model, select the **Save and reload project with Simulink model** check box at the

bottom of the window. The Simulink model stores the location and name of the project. Hence, when you change the location or filename for the project, you must also resave the Simulink model.

When reopening a model in which a response optimization project has been previously saved and the **Save and reload project with Simulink model** check box was selected, the model searches for the variable or file containing the project and automatically loads it into the model. If the file cannot be found, a warning dialog appears.

Although the save command is issued from a single Signal Constraint window, the constraints and data from *all* Signal Constraint windows are saved as a single project that includes signal constraints, tuned parameters, uncertain parameters, and setup options.

To save the constraints and data of the response optimization project under a new name, select **File > Save As** from a Signal Constraint window in the model, and then follow the preceding instructions.

Saving Additional Settings

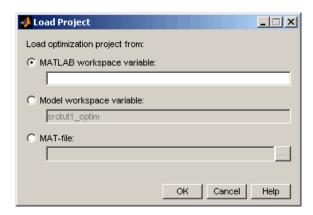
In addition to settings that you save with the response optimization project, there are several other settings that you save with the *model*. These settings include the following:

- The position of the Signal Constraint window on the screen
- The axis limit settings within the Signal Constraint window
- The location where the response optimization project, either a workspace variable or a MAT-file, is saved
- The name of the response optimization project

When you modify one or more of these attributes, you must resave the Simulink model to retain the settings when reloading the model in a subsequent session. To save the Simulink model, select **File > Save** within the model window.

Reloading Response Optimization Projects

To reload a response optimization project from the MATLAB workspace, model workspace, or a file, select **File > Load** from a Signal Constraint window in the model. In the Load Project dialog box (shown next), enter the name of the MATLAB workspace variable, model workspace variable, or MAT-file that contains the project, and then click **OK**. Alternatively, you can load the project from an existing file by clicking the button to the right of **MAT-file** and selecting a file from the directory.



Although the load command is issued from a single Signal Constraint window, the constraints are loaded into *all* Signal Constraint blocks in the model. Additionally, tuned parameters, uncertain parameters, and optimization and simulation setup options are loaded into the model.

Note Loading a project cannot be undone.

Specifying the Desired Response

In this section...

"Enforcing Signal Bounds" on page 1-9

"Moving Constraints" on page 1-9

"Including Gridlines on the Axes" on page 1-11

"Positioning Constraints Exactly" on page 1-11

"Adjusting Constraint Weightings" on page 1-12

"Edit Design Requirement Dialog Box" on page 1-13

"Scaling Constraints" on page 1-17

"Splitting and Joining Constraints" on page 1-17

"Choosing Step Response Specifications" on page 1-18

Enforcing Signal Bounds

You can specify the desired response of a signal by enforcing signal bounds or by tracking a reference signal. To enforce signal bounds, select this option at the bottom of the Signal Constraint window, and then position time-domain-based constraint bound segments in the Signal Constraint window. To track a reference signal, select this option at the bottom of the Signal Constraint window, and then plot the signal in the Signal Constraint window. This section provides further details on both methods as well as instructions for editing the figure axes and plotting additional responses.

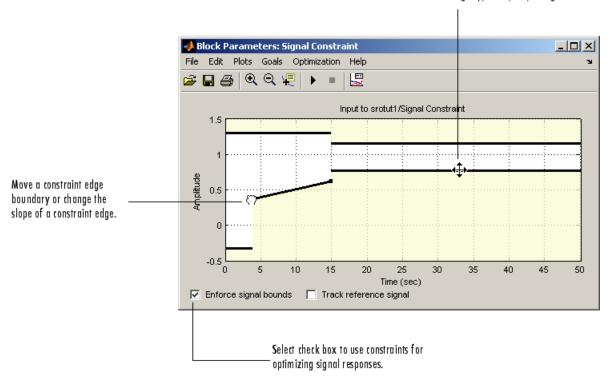
To specify the desired response signal using time-domain-based constraints, first select the **Enforce signal bounds** option at the bottom of the Signal Constraint window. Then, constrain the response signal by positioning the constraint bound segments within the figure axes using the following techniques.

Moving Constraints

Constraint-bound segments define the time-domain constraints you would like to place on a particular signal in your model. To position these segments, which appear as a yellow shaded region bordered by a black line, use the

mouse to click and drag segments within the Signal Constraint window as shown in the following figure.





- To move a constraint segment boundary or to change the slope of a constraint segment, position the pointer over a constraint segment endpoint, and press and hold down the left mouse button. The pointer should change to a hand symbol. While still holding the button down, drag the pointer to the target location, and release the mouse button. Note that the segments on either side of the boundary might not maintain their slopes.
- To move an entire constraint segment up, down, left, or right, position the mouse pointer over the segment and press and hold down the left mouse button. The pointer should change to a four-way arrow. While still holding the button down, drag the pointer to the target location, and release the

mouse button. Note that the segments on either side of the boundary might not maintain their slopes.

Tip To move a constraint segment to a perfectly horizontal or vertical position, hold down the **Shift** key while clicking and dragging the constraint segment. This causes the constraint segment to *snap* to a horizontal or vertical position.

To use these constraints to optimize signal responses, make sure that the **Enforce signal bounds** check box is selected at the bottom of the window.

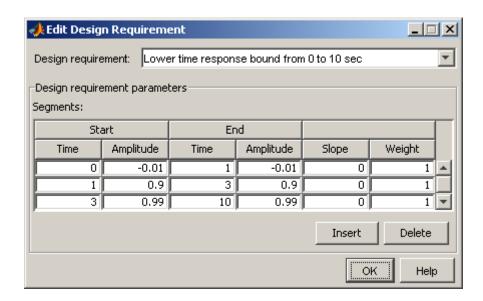
Note It is possible to move a lower bound constraint segment above an upper bound constraint segment, or vice versa, but this produces an error when you attempt to run the optimization.

Including Gridlines on the Axes

When moving constraint bound segments in the Signal Constraint window, it is sometimes helpful to display gridlines on the axes for careful alignment of the constraint bound segments. To turn the gridlines on or off, right-click within the axes of the Signal Constraint window and select **Grid**.

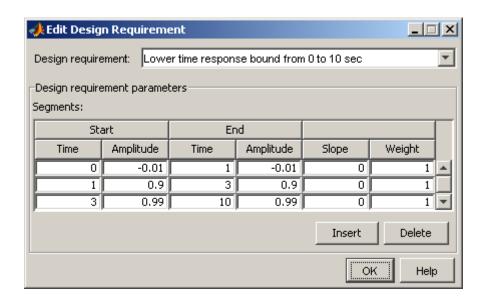
Positioning Constraints Exactly

To position a constraint segment exactly, position the pointer over the segment you want to move and press the right mouse button. Select **Edit** from the menu to open the Edit Design Requirement dialog box, shown next. For information on using the Edit Design Requirement dialog box, see "Edit Design Requirement Dialog Box" on page 1-13.



Adjusting Constraint Weightings

To change the weight of a constraint segment, position the pointer over the segment you want to weight and click the right mouse button. Select **Edit** from the menu to open the Edit Design Requirement dialog box, shown next. For information on using the Edit Design Requirement dialog box, see "Edit Design Requirement Dialog Box" on page 1-13.



Edit Design Requirement Dialog Box

The Edit Design Requirement dialog box allows you to exactly position constraint segments and to edit other properties of these constraints. The dialog box has two main components:

- An upper panel to specify the constraint you are editing
- A lower panel to edit the constraint parameters

The upper panel of the Edit Design Requirement dialog box resembles the image in the following figure.



In the context of the SISO Tool in Control System ToolboxTM software, **Design requirement** refers to both the particular editor within the SISO Tool that contains the requirement and the particular requirement within that editor. To edit other constraints within the SISO Tool, select another design requirement from the drop-down menu. In the context of the Signal Constraint block, the constraints are always time-bound constraints.

Edit Design Requirement Dialog Box Parameters

The particular parameters shown within the lower panel of the Edit Design Requirement dialog box depend on the type of constraint/requirement. In some cases, the lower panel contains a grid with one row for each segment and one column for each constraint parameter. The following table summarizes the various constraint parameters.

Edit Design Requirement Dialog Box Parameters

Parameter	Found in	Description
Time	Upper and lower time response bounds on step and impulse response plots	Defines the time range of a segment within a constraint/requirement.
Amplitude	Upper and lower time response bounds on step and impulse response plots	Defines the beginning and ending amplitude of a constraint segment.
Magnitude	SISO Tool Open-Loop Bode Editor, Prefilter Bode Editor	Defines the beginning and ending amplitude of a constraint segment.
Weight	Upper and lower time response bounds on step and impulse response plots, SISO Tool Open-Loop Bode Editor, Prefilter Bode Editor, Root Locus Editor, Open-Loop Nichols Editor	Defines the weight of a segment within a constraint/requirement. The weight is a measure of the relative importance of this constraint segment when used in a response optimization project. Weights can vary between 0 and 1, where 0 implies that the constraint segment is disabled and does not have to be satisfied, and 1 implies that the constraint segment must be satisfied. The weight of a constraint segment is graphically represented by the thickness of the black constraint line. An invisible constraint segment represents a weight of 0, and a thick constraint segment represents a weight of 1.

Edit Design Requirement Dialog Box Parameters (Continued)

Parameter	Found in	Description
Frequency	SISO Tool Open-Loop Bode Editor, Prefilter Bode Editor	Defines the frequency range of an edge within a constraint.
Slope (dB/decade)	SISO Tool Open-Loop Bode Editor, Prefilter Bode Editor	Defines the slope, in dB/decade, of a constraint segment. It is an alternative method of specifying the magnitude values. Entering a new Slope value changes any previously defined magnitude values.
Final value	Step response bounds	Defines the input level after the step occurs.
Rise time	Step response bounds	Defines a constraint segment for a particular rise time.
% Rise	Step response bounds	The percentage of the step's range used to describe the rise time.
Settling time <	SISO Tool Root Locus Editor	Defines a constraint segment for a particular settling time.
Settling time	Step response bounds	
% Settling	Step response bounds	The percentage of the final value that defines the settling region used to describe the settling time.
Percent overshoot <	SISO Tool Root Locus Editor	Defines the constraint segments for a particular percent overshoot.
% Overshoot	Step response bounds	
% Undershoot	Step response bounds	Defines the constraint segments for a particular percent undershoot.
Damping ratio >	SISO Tool Root Locus Editor	Defines the constraint segments for a particular damping ratio.

Edit Design Requirement Dialog Box Parameters (Continued)

Parameter	Found in	Description
Natural frequency	SISO Tool Root Locus Editor	Defines a constraint segment for a particular natural frequency. To specify the constraint, choose at least or at most from the menu, and then specify the natural frequency of interest.
Real	SISO Tool Root Locus Editor	Defines the beginning and end of the real component of a pole-zero region constraint.
Imaginary	SISO Tool Root Locus Editor	Defines the beginning and end of the imaginary component of a pole-zero region constraint.
Phase margin >	SISO Tool Open-Loop Nichols Editor	Defines a constraint segment for a minimum phase margin. The phase margin specified should be a number greater than 0.
Located at	SISO Tool Open-Loop Nichols Editor	Defines the center, in degrees, of the constraint segment defining the phase margin, gain margin, or closed-loop peak gain. The location must be -180 plus a multiple of 360 degrees. If you enter an invalid location point, the closest valid location is selected.
Gain margin >	SISO Tool Open-Loop Nichols Editor	Defines a constraint segment for a particular gain margin.
Closed-Loop peak gain <	SISO Tool Open-Loop Nichols Editor	Defines a constraint segment for a particular closed-loop peak gain. The specified value can be positive or negative in dB. The constraint follows the curves of the Nichols plot grid, so we recommend that you have the grid on when using this feature.

Edit Design Requirement Dialog Box Parameters (Continued)

Parameter	Found in	Description
Open loop phase	SISO Tool Open-Loop Nichols Editor	Defines the beginning and end of the open loop phase component of a gain-phase constraint segment.
Open loop gain	SISO Tool Open-Loop Nichols Editor	Defines the beginning and end of the open loop gain component of a gain-phase constraint segment.

Scaling Constraints

Instead of clicking and dragging the constraints to their new positions, you can scale the constraints. To scale the constraints, select **Edit > Scale Constraint** in the Signal Constraint window. This displays the Scale

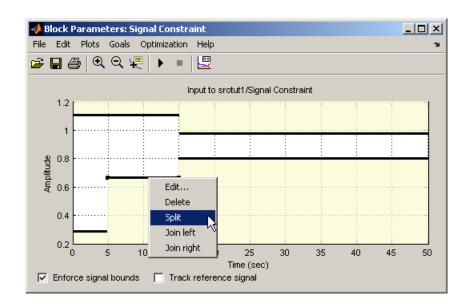
Constraint dialog box.



Enter the amount by which you want the constraints to scale and the point about which you want to scale them, and then click OK.

Splitting and Joining Constraints

To split a constraint segment, position the pointer over the segment to be split, and press the right mouse button. Select **Split** from the context menu. The segment splits in half. You can now manipulate each segment individually.

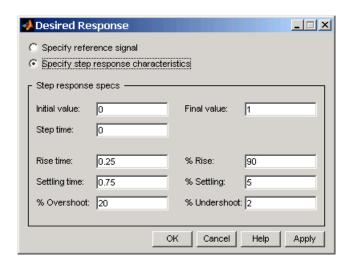


To join two neighboring constraint segments, position the pointer over one constraint segment, and press the right mouse button. Select **Join left** or **Join right** from the menu to join the segment to the left or right respectively.

Choosing Step Response Specifications

When you are optimizing the step response of your system, an alternative method of positioning the constraint bound segments is to specify the desired step response characteristics such as rise time, settling time, and overshoot.

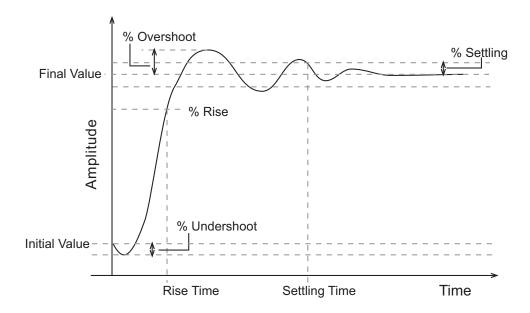
To specify step response characteristics, select **Goals > Desired Response** in the Signal Constraint window or right-click in the white space of the figure window and select **Desired Response** from the context menu. This displays the Desired Response dialog box. Select **Specify step response characteristics** to display the step response specifications as shown in the following figure.



The top three options specify the details of the step input:

- Initial value: Input level before the step occurs
- Step time: Time at which the step takes place
- Final value: Input level after the step occurs

The remaining options specify the characteristics of the response signal. Each of the step response characteristics is illustrated in the following figure.



- **Rise time**: The time taken for the response signal to reach a specified percentage of the step's range. The step's range is the difference between the final and initial values.
- % **Rise**: The percentage used in the rise time.
- **Settling time**: The time taken until the response signal settles within a specified region around the final value. This settling region is defined as the final step value plus or minus the specified percentage of the final value.
- % **Settling**: The percentage used in the settling time.
- **% Overshoot**: The amount by which the response signal can exceed the final value. This amount is specified as a percentage of the step's range. The step's range is the difference between the final and initial values.
- **% Undershoot**: The amount by which the response signal can undershoot the initial value. This amount is specified as a percentage of the step's range. The step's range is the difference between the final and initial values.

Enter values for the response specifications in the Response Specifications dialog box, based on the requirements of your model, and then click \mathbf{OK} . The constraint segments now reflect the constraints specified.

Tracking Reference Signals

In this section...

"Specifying a Reference Signal" on page 1-22

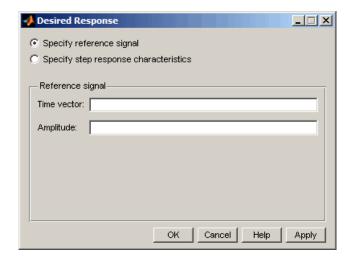
"Plotting the Reference Signal" on page 1-22

Specifying a Reference Signal

You can specify the desired response as an ideal or *reference* trajectory. First, select the **Track reference signal** option at the bottom of the Signal Constraint window. Then, plot the reference signal within the figure axes using the following techniques. You can use this reference signal in addition to, or instead of, enforcing signal bounds.

Plotting the Reference Signal

Plot a reference signal by selecting **Goals > Desired Response** in the Signal Constraint window or by right-clicking in the white space of the figure window and selecting **Desired Response** from the context menu. This displays the Desired Response dialog box. Select the radio button labeled **Specify reference signal** to display the reference signal setup as shown in the following figure.



Define the reference signal by entering vectors, or variables from the workspace, for the time and amplitude of the signal, and then clicking **OK**. To turn the reference signal on or off, right-click in the white space of the figure window and select **Show > Reference Signal**.

Plotting Responses in the Signal Constraint Window

In this section...

"Types of Response Plots" on page 1-24

"Reference Signals" on page 1-24

"Current Response" on page 1-24

"Initial Response" on page 1-24

"Intermediate Steps" on page 1-25

Types of Response Plots

You can choose to plot several different signals in the Signal Constraint window, including reference signals, initial response signals, and response signals generated during the optimization.

Reference Signals

To plot a reference signal, use the methods in "Plotting the Reference Signal" on page 1-22.

Current Response

To display the current response signal, based on the current parameter values, right-click within the white space of the Signal Constraint window and select **Plot Current Response**. The current response appears as a thick white line.

Initial Response

To turn the display of the initial response signal on or off, right-click within the white space of the Signal Constraint window and select **Show > Initial Response**. The initial response is the response of the signal based on parameter values in place before the optimization is run. The initial response appears as a blue line.

Intermediate Steps

To turn on, or off, the display of the response signal at intermediate steps during the optimization, right-click within the white space of the Signal Constraint window and select **Show > Intermediate Steps**. The response signal at an intermediate step is based on parameter values at an intermediate point in the optimization.

Specifying Tuned Parameters in the Model

In this section...

"Defining Tunable Parameters" on page 1-26

"Adding Tuned Parameters" on page 1-27

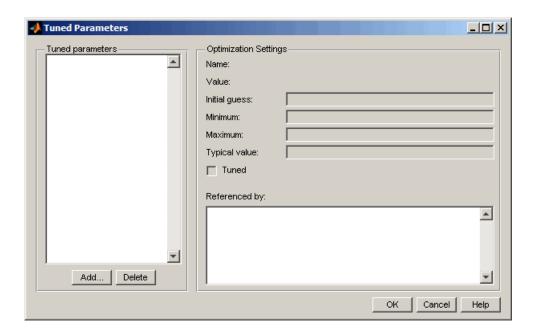
"Changing Tuned Parameter Specifications" on page 1-27

Defining Tunable Parameters

Before running the optimization, you need to define which system parameters are tunable. By tuning these parameters, Simulink® Response Optimization™ software makes the response signal meet the imposed constraints. In addition, you can define other parameters to account for plant uncertainty in your response optimization project.

Simulink Response Optimization software optimizes the response signals of the model by varying the model's tuned parameters so that the response signals lie within the constraint bound segments or closely match a specified reference signal. You can specify these tuned parameters by selecting **Optimization > Tuned Parameters** in a Signal Constraint window.

Note When you have more than one Signal Constraint block in your model, you need to specify the tuned parameters in only one window as these settings apply to all constrained signals within the model.



Adding Tuned Parameters

Within the Tuned Parameters dialog box, the tuned parameters are shown in a list on the left. To add a tuned parameter to your response optimization project, click the **Add** button. This displays the Select Parameters dialog box listing all model parameters of the model currently available in the MATLAB® workspace. (If a parameter is already listed in the tuned parameters list, it does not appear in the Select Parameters dialog box.)

Select the parameters that you want to tune, then click **OK** to add them to the list of tuned parameters. To delete a parameter from the tuned parameters list, select the parameter you want to delete and click **Delete**.

Changing Tuned Parameter Specifications

To display the settings for a particular tuned parameter, select it within the **Tuned Parameters** list. Its settings appear on the right under **Optimization Settings**, as listed in the following table.

Setting	Description	Default
Name	The name of the parameter.	Not an editable field
Value	The current value of the parameter.	Not an editable field
Initial guess	The initial value used by the optimization algorithm. A well-chosen initial guess can speed up the optimization and help keep the solution away from undesirable local minima. You can edit this field with numbers, variables, or expressions to provide an alternate initial guess.	The current value of the parameter
Minimum	The minimum value, or lower bound, that you would like the parameter to take. You can edit this field to provide an alternate minimum value.	-Inf
Maximum	The maximum value, or upper bound, that you would like the parameter to take. You can edit this field to provide an alternate maximum value.	Inf
Typical value	The tuned parameters are scaled, or normalized, by dividing their current value by a typical value. You can edit this field to provide an alternate scaling factor.	The initial value of the parameter
Tuned	This check box indicates whether this parameter is tunable. Select it if you want this parameter to be tuned during the optimization. Unselect if you do not want this parameter to be tuned during the optimization but you would like to keep it on the list of tuned parameters (for a subsequent optimization).	Selected
Referenced by	A list of all blocks this parameter appears in.	Not an editable field

After selecting the tuned parameters for the project and editing their optimization settings, click \mathbf{OK} to save your changes and exit the Tuned Parameters dialog box.

Including Uncertainty in Parameter Values

In this section...

"What Are Uncertain Parameters" on page 1-29

"Adding Uncertain Parameters" on page 1-29

"Changing Uncertain Parameter Specifications" on page 1-31

What Are Uncertain Parameters

As discussed in "Simple Control Design Example" in Simulink® Response Optimization™ Getting Started Guide, a precise plant model might not be known for your particular problem. Instead, you might know what the nominal plant should be and have some idea of the uncertainty inherent in various components of the plant. For example, in "Adding Uncertainty", the plant parameter zeta varies up to 5% about its nominal value and w0 varies between 0.7 and 1.45.

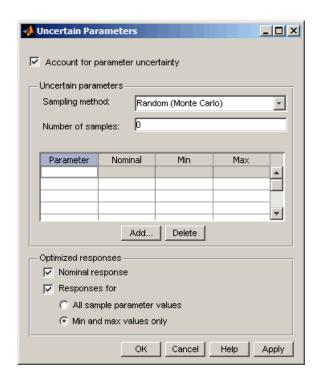
You can incorporate uncertainty into your design in two different ways:

- Passive mode: Optimize the signals based on the nominal parameter values only. Use the uncertain parameter values to validate the results by plotting responses based on these perturbed values.
- Active mode: Optimize signals based on both nominal parameter values as well as perturbed (uncertain) parameter values. This mode is more time consuming.

Adding Uncertain Parameters

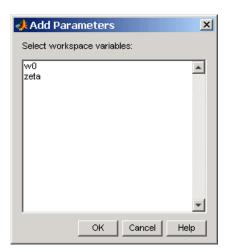
To specify uncertainty in parameters, select **Optimization > Uncertain Parameters** from the Signal Constraint window.

Note When you have more than one Signal Constraint block in your model, you need to specify the uncertain parameters in only one window, as these settings apply to all constrained signals within the model.



By default, the **Account for parameter uncertainty** check box is selected when you open the Uncertain Parameters dialog box. This indicates that you want to include parameter uncertainty in your optimization. By clearing this option, you can turn off parameter uncertainty without deleting information you have already entered in the **Uncertain parameters** list.

To add a new uncertain parameter to the **Uncertain parameters** list, click the **Add** button. This displays the Select Parameters dialog box listing all model parameters currently available in the MATLAB® workspace. (If a parameter is already listed in either the tuned parameters or uncertain parameters list, it will not appear in the Select Parameters dialog box.)



Select the parameters that you want to add uncertainty to, and then click **OK** to add them to the list of uncertain parameters. To delete a parameter from the **Uncertain parameters** list, select the parameter you want to delete and click **Delete**.

Changing Uncertain Parameter Specifications

There are two sampling methods that you can use to investigate the uncertain parameters. Both involve using several sample parameter values within the range of uncertainty.

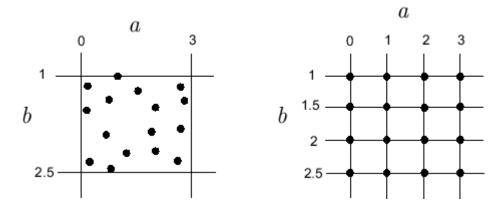
• Random (Monte Carlo) computes the optimization at several random parameter values within the range of uncertainty. When this method is selected, you must also enter a value for **Number of samples**, which indicates the number of random parameters that Simulink Response Optimization software uses. For each parameter in the uncertain parameters list, you can change the nominal value as well as the range of uncertainty indicated by the maximum and minimum values the parameter can take.

When more than one parameter contains uncertainty, random parameter combinations are chosen within the hyper-rectangle defined by the minimum and maximum values of all parameters. For example, in the case of two uncertain parameters a and b, with values ranging from 0 to 3 and from 1 to 2.5 respectively, the sample values, represented by black

dots, are scattered randomly within the rectangle shown on the left of the following figure.

• **Grid** computes the optimization at several specified parameter values within the range of uncertainty. For each parameter in the uncertain parameters list, you can change the nominal value as well as specify a vector of sample parameter values. **Number of samples** is computed from the sample values specified in the list.

When more than one parameter contains uncertainty, the sample values form a grid of parameter combinations. For example, in the case of two uncertain parameters a and b, with sample values [0 1 2 3] and [1 1.5 2 2.5], the sample values, represented by black dots, form the grid of parameter combinations shown on the left of the following figure.



To increase the speed of the computation, you can choose not to use all sample parameter values in the optimization. To include the nominal parameter values in the optimization, select the **Nominal response** check box. To include parameter values other than the nominal value in the optimization, select the **Response for** check box and then select either **All sample parameter values** or **Min and max values only**. These options include either all sample parameter value combinations or all combinations of minimum and maximum parameter values, respectively. Only the optimized responses are used to adjust the tuned parameters. Responses based on other sample parameter values may still be plotted in the Signal Constraint window.

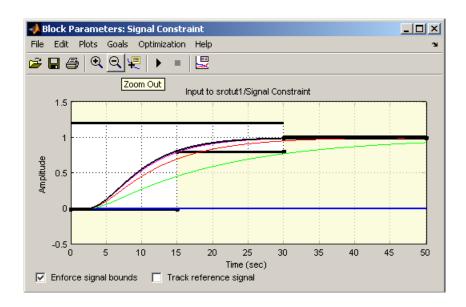
Running the Optimization

After you have specified constraints and set the tuned and uncertain parameters, you can run the optimization. If the optimization does not converge the first time, it often converges after adjusting the constraints or tuned parameter characteristics, or choosing different options. The latter sections of this chapter give advice on how set optimization options and options for the simulations used in the optimization.

Simulink® Response Optimization™ software uses optimization algorithms to find parameter values that allow a feasible solution, or best fit in the case of reference tracking, to the given constraints. Once the appropriate signals have been constrained with signal bounds or by tracking a reference signal, the tuned parameters set, and (optionally) any uncertain parameters and optimization settings specified, you are ready to run the optimization.

Run the optimization by selecting **Optimization > Start** in the Signal Constraint window, or click the **Start** button, which is the small triangle located on the control panel below the menus.

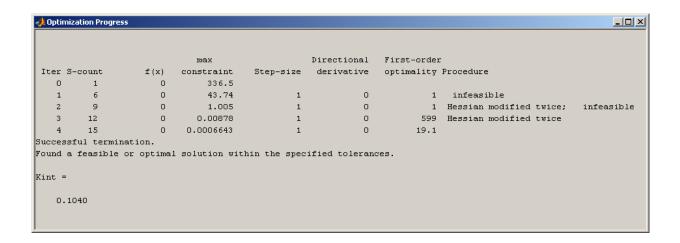
Simulink Response Optimization software begins by plotting the initial response in blue in the Signal Constraint window. During the optimization, intermediate responses are also plotted in various colors. The final response is plotted in black. If uncertainty is included in the optimization, the uncertain response signals are plotted as dashed lines, along with the nominal response as a solid line.



Simulink Response Optimization software changes the values of the tuned parameters within the MATLAB® workspace and displays the final value in the Optimization Progress window. Alternatively, you can enter a parameter name at the MATLAB prompt to see its final value.

Note After the optimization, the values of the tuned parameters are changed to the new optimized values. This means that if you want to run another optimization, it uses these tuned values of the parameters as initial values, unless you specify alternative initial values in the Tuned Parameters dialog box. To revert to the unoptimized parameter values, select **Edit > Undo Optimize Parameters** from the Signal Constraint window.

The Optimization Progress window displays numerical output. The form of this output depends on the optimization algorithm being used. To learn more, see "Selecting Optimization Methods" on page 1-37 and the discussion of **Display level** in "Selecting Additional Optimization Options" on page 1-38.



Note The Gradient descent optimization algorithm may violate the bounds on parameter values when it cannot satisfy the signal constraints specified in the Signal Constraint block and the bounds on parameter values simultaneously. To learn how to troubleshoot this problem, see the "Questions" in the Troubleshooting section.

Tuning the Optimization Results

In this section...

"Accessing Optimization Options" on page 1-36

"Selecting Optimization Methods" on page 1-37

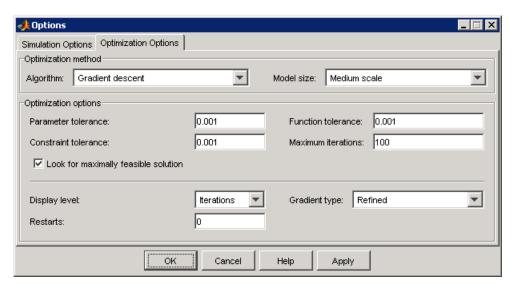
"Selecting Optimization Termination Options" on page 1-37

"Selecting Additional Optimization Options" on page 1-38

Accessing Optimization Options

Several options can be set to tune the results of optimization. These options include the optimization algorithm and the tolerances the algorithms use.

To set options for optimization, select **Optimization > Optimization Options** in the Signal Constraint window. This opens the Options dialog box.



Note If the optimization fails, a good first work-around is to change the **Gradient-type** to Refined. For more information on this option, refer to "Selecting Additional Optimization Options" on page 1-38.

Selecting Optimization Methods

Both the algorithm and model size define the optimization method. Use the **Optimization Options** panel in the Options dialog box to set algorithm and the model size.



For the **Algorithm** parameter, the three options are

- Gradient descent Uses the Optimization ToolboxTM function fmincon to optimize the response signal subject to the constraints.
- Pattern search Uses the Genetic Algorithm and Direct Search
 Toolbox™ function patternsearch, an advanced direct search method, to
 optimize the response. This option requires the Genetic Algorithm and
 Direct Search Toolbox.
- Simplex search Uses the Optimization Toolbox function fminsearch, a direct search method, to optimize the response. Simplex search is most useful for simple problems and is sometimes faster than Gradient descent for models that contain discontinuities.

By default, the **Model Size** parameter is set to Medium scale. When the model is very large and Gradient descent is selected as the optimization algorithm, you can change **Model Size** to Large scale to increase computation speed. For more information about the optimization models, see the Optimization Toolbox documentation or the Genetic Algorithm and Direct Search Toolbox documentation.

Selecting Optimization Termination Options

Use the **Optimization options** panel to specify when you want the optimization to terminate.



- **Parameter tolerance**: When using the Simplex search algorithm, the optimization terminates when successive parameter values change by less than this number. For more details, refer to the discussion of the parameter TolX in the reference page for the Optimization Toolbox function fmincon.
- **Constraint tolerance**: This number represents the maximum relative amount by which the constraints can be violated and still allow a successful convergence.
- **Function tolerance**: The optimization terminates when successive function values are less than this value. Changing the default **Function tolerance** value is only useful when you are tracking a reference signal or using the Simplex search algorithm. For more details, refer to the discussion of the parameter TolFun in the reference page for the Optimization Toolbox function fmincon.
- Maximum iterations: The maximum number of iterations allowed.
 The optimization terminates when the number of iterations exceeds this number.
- Look for maximally feasible solution: When selected, the optimization continues after it has found an initial solution, until it finds a maximally feasible, optimal solution. When this option is unselected, the optimization terminates as soon as it finds a solution that satisfies the constraints and the resulting response signal sometimes lies very close to the constraint segment. In contrast, a maximally feasible solution is typically located further inside the constraint region.

By varying these parameters you can force the optimization to continue searching for a solution or to continue searching for a more accurate solution.

Selecting Additional Optimization Options

At the bottom of the **Optimization Options** panel is a group of additional optimization options.



Display Level

The **Display level** option specifies the form of the output that appears in the Optimization Progress window. The options are Iterations, which displays information after each iteration, None, which turns off all output, Notify, which displays output only if the function does not converge, and Termination, which only displays the final output.

For more information on the type of iterative output that appears for the algorithm you selected using the **Algorithm** option, see the discussion of output for the corresponding function.

Algorithm	Function	Output Information
Gradient descent	fmincon	fmincon section of "Function-Specific Output Headings" in the Optimization Toolbox documentation
Simplex search	fminsearch	fminsearch section of "Function-Specific Output Headings" in the Optimization Toolbox documentation
Pattern search	patternseard	#Display to Command Window Options" in the Genetic Algorithm and Direct Search Toolbox documentation

Restarts

In some optimizations the Hessian may become ill conditioned and the optimization does not converge. In these cases it is sometimes useful to restart the optimization after it stops, using the endpoint of the previous optimization as the starting point for the next one. To automatically restart the optimization, indicate the number of times you want to restart in this field.

Gradient Type

When using Gradient descent as the optimization algorithm, Simulink® Response Optimization™ software calculates gradients based on finite

difference methods. The default method for computing the gradients is Basic. The Refined method offers a more robust and less noisy gradient calculation method than Basic, although it is sometimes more expensive and does not work with certain models such as SimPowerSystemsTM models. If the optimization fails, a good first work-around, before changing solvers or adding parameter bounds, is to change **Gradient type** to Refined.

Setting the Simulation Options

In this section...

"Accessing Simulation Options" on page 1-41

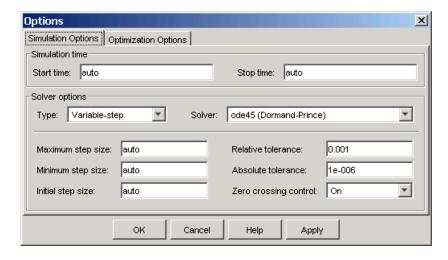
"Selecting Simulation Time" on page 1-41

"Selecting Solvers" on page 1-42

Accessing Simulation Options

To optimize the response signals of a model, Simulink® Response Optimization TM software runs simulations of the model.

You can set options for these simulations by selecting **Optimization > Simulation Options** in the Signal Constraint window. This opens the Options dialog box.



Selecting Simulation Time



By default, the **Start time** and **Stop time** are automatically set to the model's start and stop times. To specify alternative start and stop times for the response optimization project, enter them under **Simulation time**.

Note Simulink Response Optimization software automatically replaces the stop-time value in **Stop time** with the largest time value in the constraints. This prevents the software from entering an infinite loop.

Selecting Solvers



When running the simulation, Simulink® software solves the dynamic system using one of several solvers. You can specify several solver options under **Solver options** in the Options dialog box. The type of solver can be variable-step or fixed step. Variable step solvers keep the error within specified tolerances by adjusting the step size the solver uses. Fixed-step solvers use a constant step-size. When your model's state's are likely to vary rapidly, a variable-step solver is often faster.

Variable-Step Solvers

When you select Variable-step as the solver **Type**, you can choose any of the following as the **Solver**:

- discrete (no continuous states)
- ode45 (Dormand-Prince)
- ode23 (Bogacki-Shampine)
- ode113 (Adams)
- ode15s (stiff/NDF)

- ode23s (stiff/Mod. Rosenbrock)
- ode23t (Mod. stiff/Trapezoidal)
- ode23tb (stiff/TR-BDF2)

See the Simulink documentation for information on these solvers.

Variable-Step Solver Options

When you select Variable-step as the Simulink solver **Type**, you can also set several other parameters that affect the step size of the simulation:

- Maximum step size: The largest step size solver can use during a simulation
- Minimum step size: The smallest step size solver can use during a simulation
- **Initial step size**: The step size solver uses to begin the simulation
- **Relative tolerance**: The largest allowable relative error at any step in the simulation
- **Absolute tolerance**: The largest allowable absolute error at any step in the simulation
- **Zero crossing control**: Set to on for the solver to compute exactly where the signal crosses the *x*-axis. This is useful when using functions that are nonsmooth and the output depends on when a signal crosses the *x*-axis, such as absolute values.

By default, the values for these options are automatically chosen. To choose your own values, enter them in the appropriate fields. For more information on these options, and the circumstances in which to use them, see the Simulink documentation.

Fixed-Step Solvers

When you select Fixed-step as the solver **Type**, you can choose any of the following as the **Solver**:

• discrete (no continuous states)

- ode5 (Dormand-Prince)
- ode4 (Runge-Kutta)
- ode3 (Bogacki-Shanpine)
- ode2 (Heun)
- ode1 (Euler)

See the Simulink documentation for information on these solvers.

When you select Fixed-step as the solver **Type**, you can also set **Fixed step size**, which determines the step size the solver uses during the simulation. By default, Simulink automatically chooses a value for this option.



Accelerating the Optimization

In this section...

"About Accelerating Optimization" on page 1-45

"Limitations" on page 1-45

"Setting Accelerator Mode for Response Optimization" on page 1-45

About Accelerating Optimization

You can accelerate the response optimization computations by changing the simulation mode of your Simulink® model. Simulink® Response Optimization $^{\text{TM}}$ software supports Normal and Accelerator simulation modes. For more information about these modes, see "Accelerating Models" in the Simulink documentation.

The default simulation mode is Normal. In this mode, Simulink uses interpreted code, rather than compiled C code during simulations.

In the Accelerator mode, Simulink Response Optimization software runs simulations during optimization with compiled C code. Using compiled C code speeds up the simulations and reduces the time to optimize the model response signals.

Limitations

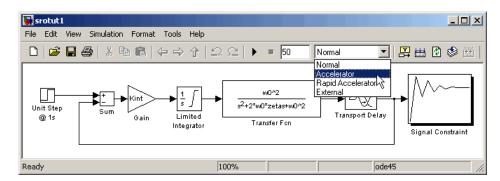
You cannot use the Accelerator mode if your model contains algebraic loops. If the model contains MATLAB® function blocks, you must either remove them or replace them with Fcn blocks.

If the model structure changes during optimization, the model is compiled to regenerate the C code for each iteration. Using the Accelerator mode increases the computation time. To learn more about code regeneration, see "Code Regeneration in Accelerated Models" in the Simulink documentation.

Setting Accelerator Mode for Response Optimization

To set the simulation mode to Accelerator, open the Simulink model window and perform one of the following actions:

- Select Simulation > Accelerator.
- Choose Accelerator from the drop-down list as shown in the next figure.



Tip To obtain the maximum performance from the Accelerator mode, close all Scope blocks in your model.

Response Plots Property Editor

In this section...

"Modifying Properties of Response Plots" on page 1-47

"Labels Pane" on page 1-48

"Limits Pane" on page 1-48

Modifying Properties of Response Plots

This section discusses how you can change the properties of response plots.

Note Click the tabs to get help on panes in the Property Editor.

This figure shows the Property Editor dialog box for a step response.



In general, you can change the following properties of response plots.

- Labels Titles and X- and Y-labels
- **Limits** Numerical ranges of the *x* and *y* axes

As you make changes in the Property Editor, they display immediately in the response plot. Conversely, if you make changes in a plot using right-click

menus, the Property Editor for that plot automatically updates. The Property Editor and its associated plot are dynamically linked.

Labels Pane

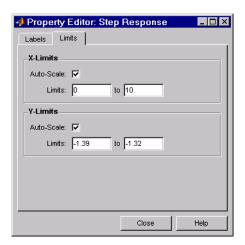
Note Click the tabs below to get help on the Property Editor.



To specify new text for plot titles and axis labels, type the new string in the field next to the label you want to change. The label changes immediately as you type, so you can see how the new text looks as you are typing.

Limits Pane

Note Click the tabs to get help on the Property Editor.



Default values for the axes limits make sure that the maximum and minimum x and y values are displayed. If you want to override the default settings, change the values in the **Limits** pane fields. The **Auto-Scale** check box automatically clears if you click a different field. The new limits appear immediately in the response plot.

To reestablish the default values, select the **Auto-Scale** check box again.

Including Independent Parameters

In this section...

"Basic Steps for Tuning Independent Parameters" on page 1-50

"Example" on page 1-50

Basic Steps for Tuning Independent Parameters

Sometimes parameters in your model depend on independent parameters that do not appear in the model. The following steps give an overview of how to tune and include uncertainty in these independent parameters. example follows in the next section:

- 1 Add the independent parameters to the model workspace (along with initial values).
- **2** Define a Simulation Start function that runs before each simulation of the model. This Simulation Start function defines the relationship between the dependent parameters in the model and the independent parameters in the model workspace.
- **3** The independent parameters now appear in the Add Parameters dialog box when you select **Tuned parameters** or **Uncertain parameters**. Add these parameters to the list of tuned parameters to tune them during the response optimization.

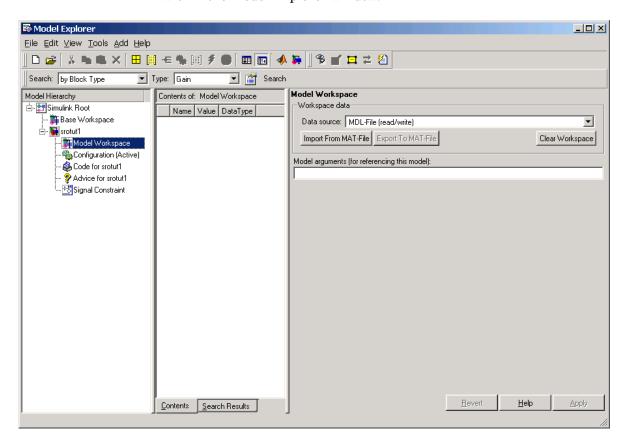
Caution Avoid adding independent parameters together with their corresponding dependent parameters to the lists of tuned and uncertain parameters. Otherwise, the optimization could give incorrect results. For example, when a parameter x depends on the parameters a and b, avoid adding all three parameters to the lists of tuned and uncertain parameters.

Example

Assume that the parameter Kint in the model srotut1 is related to the parameters x and y according to the relationship Kint=x+y. Also assume that

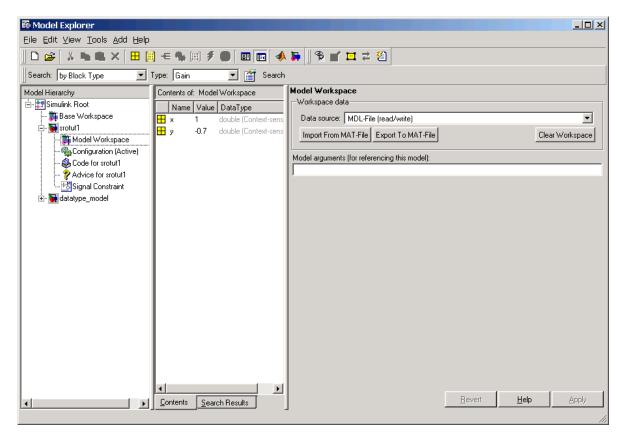
the initial values of x and y are 1 and -0.7, respectively. To tune x and y instead of Kint, first define these parameters in the model workspace. To do this,

- 1 Select **View > Model Explorer** from the snotut1 window.
- 2 Select Model Workspace under the **srotut1** node in the tree browser within the Model Explorer window.



3 Select **Add > MATLAB Variable** within the Model Explorer to add a new variable to the model workspace. A new variable appears within the pane labeled **Contents of: Model Workspace**. Change the variable name to x and the initial value to 1.

4 Repeat step 3 to add a variable y with an initial value of -0.7. The Model Explorer window should now look like the following figure.



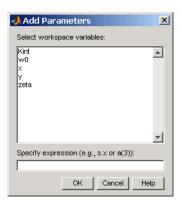
- **5** To add the Simulation Start function defining the relationship between Kint and the independent parameters x and y, select **File > Model Properties** in the srotut1 window, and then select **Callbacks** in the Model Properties dialog box.
- **6** Under **Simulation start function**, enter the name of a new M-file, for example, srotut1_start.
- **7** Create a new M-file with this name. The contents of the M-file should define the relationship between the parameters in the model and the

parameters in the workspace. For this example, the M-file should look something like the following:

```
wks = get_param(gcs, 'ModelWorkspace')
x = wks.evalin('x')
y = wks.evalin('y')
Kint = x+y;
```

Note You must first use the get_param function to get the variables x and y from the model workspace before you can use them to define Kint.

8 When you add a new tuned or uncertain parameter, x and y should now appear in the Add Parameters dialog box.



Response Optimization in a SISO Design Task

Response Optimization in a SISO Design Task (p. 2-2)

Response Optimization Using Simulink[®] Control DesignTM Software (p. 2-7)

Creating a response optimization task to design the response of open and closed loops within a SISO Design Task

Performing optimization of Simulink® models using Simulink® Control Design™ software

Response Optimization in a SISO Design Task

In this section...

"Tuning within a SISO Design Task" on page 2-2

"Supported SISO Tool Requirements" on page 2-3

Tuning within a SISO Design Task

When you have Control System ToolboxTM software installed, you can design compensators for control systems by tuning compensator elements or parameters within a SISO Design Task in the Control and Estimation Tools Manager. You can tune any elements or parameters, such as poles, zeros, and gains, within any compensators in the system to optimize the responses of both open and closed loops.

Optimize the responses of systems in the SISO Design Task to meet both time- and frequency-domain performance requirements by graphically constraining signals:

- Add frequency-domain design requirements to plots such as root-locus, Nichols, and Bode in the SISO Design Task graphical tuning editor called SISO Design Tool.
- Add time-domain design requirements to plots such as step or impulse response (when displayed within the LTI Viewer as part of a SISO Design Task).

You can use response optimization within a SISO Design Task in the Control and Estimation Tools Manager to tune both command-line LTI models as well as Simulink® models:

- Create an LTI model using the Control System Toolbox command-line functions and use the sisotool function to create a SISO Design Task for the model.
- Use a Simulink Compensator Design task (from Simulink® Control Design™ software) to automatically analyze the model and then create a SISO Design Task for a linearized version of the model. You can then use

the response optimization tools within the SISO Design Task to tune the response of the linearized Simulink model.

When using response optimization within a SISO Design Task you cannot add uncertainty to system parameters.

Simulink[®] Response Optimization[™] Getting Started Guide provides detailed instructions for optimizing response in a SISO Design Task. A detailed example guides you through the following process:

- 1 Create and import a linear model into a SISO Design Task. You can either create an LTI model at the MATLAB® command line, or use a Simulink Compensator Design Task from Simulink Control Design software to automatically linearize the system and create a SISO Design Task. See "Creating an LTI Plant Model" for more information.
- 2 Under Automated Tuning select Optimization based tuning as the **Design Method** and then click the **Optimize Compensators** button to create a **Response Optimization** task within the Control and Estimation Tools Manager. See "Creating a Response Optimization Task" for more information.
- **3** Within the **Response Optimization** node, select the **Compensators** pane to select and configure the compensator elements you want to tune during the response optimization. See "Selecting Tunable Compensator Elements" for more information.
- **4** Under **Design requirements** in the **Response Optimization** node, select the design requirements you want the system to satisfy. See "Adding Design Requirements" for more information.
- 5 Click the **Start Optimization** button within the **Response Optimization** node. The optimization progress results appear under **Optimization**. The **Compensators** pane contains the new, optimized compensator element values. See "Optimizing the System's Response" for more information.

Supported SISO Tool Requirements

This section lists the SISO Tool requirements that can be optimized using Simulink Response Optimization software.

Root Locus Diagrams

Settling Time. If you specify a settling time in the continuous-time root locus, a vertical line appears on the root locus plot at the pole locations associated with the value provided (using a first-order approximation). In the discrete-time case, the constraint is a curved line.

It is required that $\operatorname{Re}\{pole\} < -4.6/T_{settling}$ for continuous systems and $\log(\operatorname{abs}(pole))/T_{discrete} < -4.6/T_{settling}$ for discrete systems. This is an approximation of the settling time based on second order dominant systems.

Percent Overshoot. Specifying percent overshoot in the continuous-time root locus causes two rays, starting at the root locus origin, to appear. These rays are the locus of poles associated with the percent value (using a second-order approximation). In the discrete-time case, the constraint appears as two curves originating at (1,0) and meeting on the real axis in the left-hand plane.

The percent overshoot (p.o.) constraint can be expressed in terms of the damping ratio, as in this equation:

$$p.o. = 100e^{-\pi\zeta/\sqrt{1-\zeta^2}}$$

where ζ is the damping ratio.

Damping Ratio. Specifying a damping ratio in the continuous-time root locus causes two rays, starting at the root locus origin, to appear. These rays are the locus of poles associated with the damping ratio. In the discrete-time case, the constraint appears as curved lines originating at (1,0) and meeting on the real axis in the left-hand plane.

The damping ratio defines a requirement on $-\text{Re}\{pole\}/\text{abs}(pole)$ for continuous systems and on

$$r = abs(pSys)$$

$$t = angle(pSys)$$

$$c = -\log(r) / \sqrt{(\log(r))^2 + t^2}$$

for discrete systems.

Natural Frequency. If you specify a natural frequency, a semicircle centered around the root locus origin appears. The radius equals the natural frequency.

The natural frequency defines a requirement on abs(pole) for continuous systems and on

$$r = abs(pSys)$$

$$t = angle(pSys)$$

$$c = \sqrt{(\log(r))^2 + t^2} / Ts_{model}$$

for discrete systems.

Region Constraint. Specifies an exclusion region in the complex plane, causing a line to appear between the two specified points with a shaded region below the line. The poles must not lie in the shaded region.

Open-Loop and Prefilter Bode Diagrams

Gain and Phase Margins. Specify a minimum phase and or a minimum gain margin.

Upper Gain Limit. You can specify an upper gain limit, which appears as a straight line on the Bode magnitude curve. You must select frequency limits, the upper gain limit in decibels, and the slope in dB/decade.

Lower Gain Limit. Specify the lower gain limit in the same fashion as the upper gain limit.

Open-Loop Nichols Plots

Phase Margin. Specify a minimum phase amount.

While displayed graphically at only one location around a multiple of -180 degrees, this requirement applies to phase margin regardless of actual phase (i.e., it is interpreted for all multiples of -180).

Gain Margin. Specify a minimum gain margin.

While displayed graphically at only one location around a multiple of -180 degrees, this requirement applies to gain margin regardless of actual phase (i.e., it is interpreted for all multiples of -180).

Closed-Loop Peak Gain. Specify a peak closed-loop gain at a given location. The specified value can be positive or negative in dB. The constraint follows the curves of the Nichols plot grid, so it is recommended that you have the grid on when using this feature.

While displayed graphically at only one location around a multiple of -180 degrees, this requirement applies to gain margin regardless of actual phase (i.e., it is interpreted for all multiples of -180).

Gain-Phase Requirement. Specifies an exclusion region for the response on the Nichols plot. The response must not pass through the shaded region.

This only applies to the region (phase and gain) drawn.

Step/Impulse Response Plots

Upper Time Response Bound. You can specify an upper time response bound.

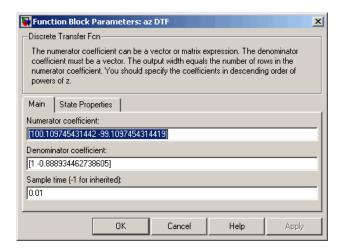
Lower Time Response Bound. You can specify a lower time response bound.

Response Optimization Using Simulink® Control Design™ Software

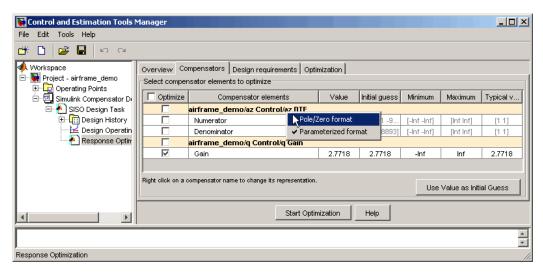
Users with Control System $Toolbox^{TM}$ and $Simulink^{@}$ Control Design TM software can perform frequency domain based optimization of $Simulink^{@}$ models.

You can use Simulink Control Design software to configure SISO Tool with compensators, inputs, outputs, and loops computed from a Simulink model (see "Designing Compensators" in Simulink Control Design User's Guide). Once SISO Tool is configured with data from a Simulink model, you can use Simulink[®] Response Optimization™ software to optimize SISO Tool based models.

There is only one difference when tuning compensators derived from Simulink Control Design software: The tuning of compensators from a Simulink model is done through the masks of the Simulink blocks representing each compensator. When selecting parameters to optimize, users can tune the compensator in the pole, zero, or gain format, or in a format consistent with the Simulink block mask as shown in the following figure. Changing the compensator format is not possible when optimizing pure SISO Tool models (those not derived using Simulink Control Design software).



Mask of a Simulink® compensator block



Response optimization compensators pane

To walk through a Simulink Response Optimization example using Simulink Control Design software, and the SISO Tool, see the tutorial "Enforcing Time and Frequency Requirements on a Single Loop Controller Design " and Simulink Response Optimization demo, airframe demo (both in the Demos pane of the Help browser), which is based on a SISO Tool session started using Simulink Control Design software.

Function Reference

Response Optimization Projects

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Constraints and Parameters (p. 3-3)

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Response Optimization Projects

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Constraints and Parameters

randunc

findconstr Find constraints on given response

findpar Find specifications for given tuned

parameter

gridunc Construct N-D grid of uncertain

parameter values

initpar Initialize tuned parameters

Randomly sample uncertain

parameters

setunc Specify parameter uncertainty in

optimization project

Optimization and Simulation Settings

optimget	Current optimizer settings
optimset	Modify optimizer settings
simget	Current simulation settings
simset	Modify simulation settings

Functions — Alphabetical List

Purpose

Find constraints on given response

Syntax

constraints=findconstr(proj, 'blockname')

Description

constraints=findconstr(proj, 'blockname') returns a constraint object for the Signal Constraint block, blockname, within the response optimization project, proj. This object contains all the data defining the desired response, including the positions of the constraint bound segments as well as the reference signals. The constraints are used in a response optimization project to define the region in which the response signal must lie.

Modify the constraint object properties UpperBoundX, UpperBoundY, LowerBoundX, and LowerBoundY to specify new constraint bound segments on the signals. These properties define the \boldsymbol{x} and \boldsymbol{y} values for the beginning and ending points of each constraint segment.

Modify the constraint object properties ReferenceX and ReferenceY to specify a new reference signal to track. These properties contain the vectors of x and y data defining the reference signal.

Example

Open the model srotut1 by typing

srotut1

Create a response optimization project.

```
proj=newsro('srotut1','Kint');
```

Find the constraints object for this project.

```
constraint=findconstr(proj, 'srotut1/Signal Constraint')
```

This returns

```
ConstrEnable: 'on'
isFeasible: 1
CostEnable: 'off'
Enable: 'on'
```

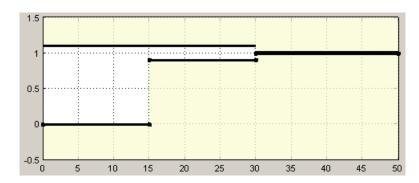
```
Name: 'Signal Constraint'
SignalSize: [1 1]
LowerBoundX: [3x2 double]
LowerBoundY: [3x2 double]
LowerBoundWeight: [3x1 double]
UpperBoundX: [2x2 double]
UpperBoundY: [2x2 double]
UpperBoundWeight: [2x1 double]
ReferenceX: []
ReferenceY: []
ReferenceWeight: []
```

Signal Constraint.

Change the positioning of the constraint bounds by editing the upper and lower bound matrices.

```
constraint.UpperBoundY=[1.1 1.1;1.01 1.01];
constraint.LowerBoundY=[0 0;0.9 0.9;0.99 0.99];
constraint.UpperBoundX=[0 30;30 50];
constraint.LowerBoundX=[0 15;15 30;30 50];
```

These bounds define the constraints given in the following figure.



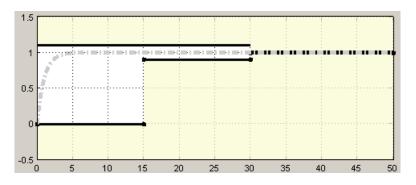
Include a reference signal with the following commands:

```
constraint.ReferenceX=linspace(0,50,1000);
```

findconstr

constraint.ReferenceY=1-exp(-linspace(0,50,1000));

This defines the reference signal shown in the following figure.



See Also

getsro, newsro, optimize

Purpose Find specifications for given tuned parameter

Syntax p=findpar(proj,'param')

Description

p=findpar(proj, 'param') returns a tuned parameters object for the parameter with the name param within the response optimization project, proj. The tuned parameters object defines specifications for each tuned parameter that the response optimization algorithm uses, such as initial guesses, lower bounds, etc.

The properties of each tuned parameter object are

Name A string giving the parameter's name.

Value The current value of the parameter. This

changes during the optimization.

InitialGuess The initial guess for the parameter value

for the optimization.

Minimum The minimum value this parameter can

take. By default, it is set to -Inf.

Maximum The maximum value this parameter can

take. By default, it is set to Inf.

TypicalValue A value that the tuned parameter is scaled

by during the optimization.

ReferencedBy The block, or blocks, in which the

parameter appears.

Description An optional string giving a description of

the parameter.

Tuned Set to 1 or 0 to indicate if this parameter

is to be tuned or not.

Edit these properties to specify additional information about your parameters.

findpar

Example

Create a response optimization project for snotut1.

```
proj=newsro('srotut1','Kint');
```

Find the tuned parameters object for the parameter Kint.

```
p=findpar(proj,'Kint')
```

This returns

```
Name: 'Kint'
Value: 0
InitialGuess: 0
Minimum: -Inf
Maximum: Inf
TypicalValue: 0
ReferencedBy: {0x1 cell}
Description: ''
Tuned: 1
```

Tuned parameter.

Change the initial guess to 0.5, and the minimum value to 0 with the set function.

```
set(p,'InitialGuess',0.5,'Minimum',0)
```

See Also

getsro, newsro, optimize

Purpose Response optimization project for given Simulink® model

Syntax proj=getsro('modelname')

Description proj=getsro('modelname') returns the response optimization project,

proj, currently associated with the Simulink model with name,

modelname. The model should be open and contain $Simulink^{®}$ Response OptimizationTM blocks. Use the project with the optimize function to optimize response signals in the model by tuning specified parameters.

Example Open the model pidtune_demo by typing

pidtune demo

Extract the response optimization project from this model

proj=getsro('pidtune_demo')

This returns

Name: 'pidtune demo'

Parameters: [3x1 ResponseOptimizer.Parameter]
OptimOptions: [1x1 ResponseOptimizer.OptimOptions]

Tests: [1x1 ResponseOptimizer.SimTest]

Model: 'pidtune demo'

Simulink Response Optimization Project.

Use the findpar and findconstr functions to specify signal constraints

and tuned parameters.

See Also findconstr, findpar, newsro, optimize

Purpose

Construct N-D grid of uncertain parameter values

Syntax

uset=gridunc('P1',Values1,'P2',Values2,...)

Description

uset=gridunc('P1',Values1,'P2',Values2,...) takes vectors (for scalar-valued parameters) or cell arrays of values Values1, Values2,... for the uncertain parameters P1, P2,... and constructs uset, an object containing a multidimensional grid of all parameter value combinations.

Optimize the responses based on uncertain parameter values by setting the Optimized property of the uncertain parameter object, uset, to true. (By default, this value is set to false.)

Use the setunc function to set the uncertain parameter values within the response optimization project.

Example

Create a grid of uncertain parameter values for the parameters P, I, and D.

```
uset=gridunc('P',[1,2,3,4],'I',[0.1,0.2,0.3],'D',[30,35,40])
```

This returns

```
Optimized: [4x3x3 logical]
P: [4x3x3 double]
I: [4x3x3 double]
D: [4x3x3 double]
```

4x3x3 grid of parameter vectors.

View the data in detail using dot notation. For example:

To optimize responses based on all the parameter combinations within uset, enter the following command:

uset.Optimized(1:end)=true;

See Also randunc, setunc

initpar

Purpose Initialize tuned parameters

Syntax initpar(proj)

Description initpar(proj) sets the InitialGuess value of tuned parameters

in the response optimization project, proj, with the values of the parameters that are currently in the model or base workspace.

See Also findpar

Purpose

Upgrade models with old Nonlinear Control Design Blockset blocks

Syntax

ncdupdate('modelname')

Description

ncdupdate('modelname') searches the Simulink® model specified by the string 'modelname' for Nonlinear Control Design Blockset Outport blocks and replaces them by the equivalent Signal Constraint block from Simulink® Response Optimization™ library. The model must be open prior to calling ncdupdate. Nonlinear Control Design Blockset software is the version of Simulink Response Optimization software that existed before Release 14.

When your model automatically loads its Nonlinear Control Design Blockset settings from an ncdStruct variable, this variable changes in the workspace during the update so that it is compatible with Simulink Response Optimization software. Make sure to resave this variable after the update so that the correct settings load with your model.

When your Nonlinear Control Design Blockset settings are stored in an ncdStruct variable, but do not automatically load with the model, first load the ncdStruct variable into the workspace before calling ncdupdate, and then resave the variable afterwards.

To retain the upgraded blocks, make sure you also save the model after running ncdupdate.

See Also slupdate

Purpose

Create default Simulink® Response OptimizationTM project

Syntax

proj=newsro('modelname',params)

Description

proj=newsro('modelname',params) creates a new response optimization project, proj, for the Simulink® model with name modelname. The tuned parameters are specified by the cell array of strings, parameters. The specified model should contain at least one block from Simulink Response Optimization library. Type srolib to open the library. Use the project with the optimize function to optimize response signals in the model by tuning specified parameters.

Example

Create a project, proj, for the model pidtune_demo with the tuned parameters Kp, Ki, and Kd.

```
proj = newsro('pidtune_demo',{'Kp' 'Ki' 'Kd'})
```

This returns

Name: 'pidtune demo'

Parameters: [3x1 ResponseOptimizer.Parameter]
OptimOptions: [1x1 ResponseOptimizer.OptimOptions]

Tests: [1x1 ResponseOptimizer.SimTest]

Model: 'pidtune demo'

Simulink Response Optimization Project.

Use the findpar and findconstr functions to specify signal constraints and tuned parameters.

See Also

findconstr, findpar, getsro, optimize

Purpose Current optimizer settings

Syntax opt_settings=optimget(proj)

Description opt_settings=optimget(proj) returns the current optimization

settings object, opt_settings, for the response optimization project

proj. Use optimset to modify the optimization options.

Option	Description	Possible Settings
Algorithm	The optimization algorithm used.	'fmincon' uses the Optimization Toolbox TM function fmincon; 'patternsearch' uses the Genetic Algorithm and Direct Search Toolbox TM function patternsearch; 'fminsearch' uses the Optimization Toolbox function fminsearch.
Display	The level of information the optimization displays.	'off' displays no output; 'iter' displays output at each iteration; 'final' displays just the final output; 'notify' displays output only if the function does not converge.

<u>optimget</u>

Option	Description	Possible Settings
GradientType	When using 'fmincon' as the Algorithm, Simulink® Response Optimization™ software calculates gradients based on finite difference methods. The default method for computing the gradients is 'basic'. The 'refined' method offers a more robust and less noisy gradient calculation method than 'basic', although it is sometimes more expensive and does not work with certain models such as SimPowerSystems™ models.	'basic' or 'refined'
MaximallyFeasible	By default, the optimization terminates as soon as it finds a solution that satisfies the constraints and the resulting response signal sometimes lies very close to the constraint segment. However, the optimization can continue to search for a maximally feasible solution that will typically be located further inside the constraint region.	O to terminate the optimization after an initial solution is found; 1 to continue the optimization after an initial solution, in search of a maximally feasible solution.
MaxIter	Maximum number of iterations allowed.	Positive integer

Option	Description	Possible Settings
TolCon	Termination tolerance on the constraints.	Positive scalar
TolFun	Termination tolerance on the function value.	Positive scalar
TolX	Termination tolerance on the parameter values.	Positive scalar
Restarts	In some optimizations the Hessian may become ill-conditioned and the optimization does not converge. In these cases it is sometimes useful to restart the optimization after it stops, using the endpoint of the previous optimization as the starting point for the next one. To automatically restart the optimization, use this option to indicate the number of times you want to restart.	Nonnegative integer
SearchMethod	Search options for use with the patternsearch algorithm.	See "Search Options" in the Genetic Algorithm and Direct Search Toolbox documentation.

For more information on the possible settings and the values they can take, see the reference page for the MATLAB® function optimset.

Example

Create a new default response optimization project for the model snotut1.

```
proj=newsro('srotut1','Kint');
```

Get the optimization settings for this project.

```
opt settings=optimget(proj)
```

This returns the following list of optimization settings and their current values.

```
Algorithm: 'fmincon'
Display: 'iter'
GradientType: 'basic'
MaximallyFeasible: 0
MaxIter: 100
TolCon: 1.0000e-003
TolFun: 1.0000e-003
TolX: 1.0000e-003
Restarts: 0
SearchMethod: []
```

See Also

optimset, simget, simset

Purpose

Run response optimization project

Syntax

result=optimize(proj)

Description

result=optimize(proj) optimizes the responses specified in the response optimization project, proj, with the constraints, parameters, and settings. The response optimization results are displayed after each iteration. The tuned parameters are changed in the workspace. Enter the parameter name at the MATLAB® prompt to see its new value.

A results object, result, is also returned. The properties of this object are

- Cost: The final value of the cost function.
- ExitFlag: 1 if the optimization terminated successfully, 0 if it did not.
- Iteration: The number of iterations.

For more information on the results properties, see the reference pages for the Optimization $Toolbox^{TM}$ functions fmincon and fminsearch and the Genetic Algorithm and Direct Search $Toolbox^{TM}$ function patternsearch.

Example

Open the $pitchrate_demo\ model.$

```
pitchrate_demo
```

Create a response optimization project based on the current settings in the model.

```
proj=getsro('pitchrate demo');
```

Run the optimization with the following command.

```
results=optimize(proj)
```

The expected results are displayed as follows.

			max		Directional	First-order	
Iter	S-count	f(x)	constraint	Step-size	derivative	optimality	Procedure
0	1	0	1803				
1	14	0	160	0.0287	0	0.0152	
2	21	0	0.2607	0.0327	0	0.00598	Hessian modified
3	28	0	0.04203	0.071	0	0.0122	Hessian modified
4	35	0	0.001894	0.0164	0	0.00112	Hessian modified
5	42	0	7.631e-006	0.000804	0	5.01e-006	Hessian modified
Succe	ssful ter	minati	on.				
Found	a feasib	le or	optimal solut	ion within	the specified	tolerances.	
k1 =							
0	.8674						
k2 =							
- 0	.1513						
k3 =							
	5000						
-0	.5003						
resul	+c -						
1 6201							
	Cost:	0					
			double]				
	ExitFlag:		~~~~1				
	teration:						
-		-					

The results can differ due to changes in MATLAB numerics, Simulink® solvers, optimization algorithms, and running on different platforms.

optimize

See Also

findconstr, findpar, getsro, newsro, optimget, optimset

optimset

Purpose Modify optimizer settings

Syntax optimset(proj, 'setting1', value1, 'setting2', value2,...)

Description optimset(proj, 'setting1', value1, 'setting2', value2,...)

modifies the optimization settings within the response optimization project, proj. The value of the optimization setting, setting1, is set to

value1, setting2 is set to value2, etc.

Option	Description	Possible Settings
Algorithm	The optimization algorithm used.	'fmincon' uses the Optimization Toolbox TM function fmincon; 'patternsearch' uses the Genetic Algorithm and Direct Search Toolbox TM function patternsearch; 'fminsearch' uses the Optimization Toolbox function fminsearch.
Display	The level of information that the optimization displays.	'off' displays no output; 'iter' displays output at each iteration; 'final' displays just the final output; 'notify' displays output only if the function does not converge.

Option	Description	Possible Settings
GradientType	When using 'fmincon' as the Algorithm, Simulink® Response Optimization™ software calculates gradients based on finite difference methods. The default method for computing the gradients is 'basic'. The 'refined' method offers a more robust and less noisy gradient calculation method than 'basic', although it is sometimes more expensive and does not work with certain models such as SimPowerSystems™ models.	''basic' or 'refined'
MaximallyFeasible	By default, the optimization terminates as soon as it finds a solution that satisfies the constraints and the resulting response signal sometimes lies very close to the constraint segment. However, the optimization can continue to search for a maximally feasible solution that is typically located further inside the constraint region.	O to terminate the optimization after an initial solution is found; 1 to continue the optimization after an initial solution, in search of a maximally feasible solution.
MaxIter	Maximum number of iterations allowed.	Positive integer

optimset

Option	Description	Possible Settings
TolCon	Termination tolerance on the constraints.	Positive scalar
TolFun	Termination tolerance on the function value.	Positive scalar
TolX	Termination tolerance on the parameter values.	Positive scalar
Restarts	In some optimizations the Hessian may become ill-conditioned and the optimization does not converge. In these cases it is sometimes useful to restart the optimization after it stops, using the endpoint of the previous optimization as the starting point for the next one. To automatically restart the optimization, use this option to indicate the number of times you want to restart.	Nonnegative integer
SearchMethod	Search options for use with the patternsearch algorithm.	See "Search Options" in the Genetic Algorithm and Direct Search Toolbox documentation.

For more information on the possible settings and the values they can take, see the reference page for the MATLAB® function optimset.

Example

Create a default response optimization project for the model srotut1.

```
proj=newsro('srotut1','Kint');
```

Get the optimization settings for this project.

```
opt_settings=optimget(proj)
```

This returns the following list of optimization settings and their current values.

```
Algorithm: 'fmincon'
Display: 'iter'
GradientType: 'basic'
MaxIter: 100
TolCon: 1.0000e-003
TolFun: 1.0000e-003
TolX: 1.0000e-003
Restarts: 0
SearchMethod: []
```

Use optimset to change the maximum number of iterations to 150.

```
optimset(proj, 'MaxIter', 150)
```

To view the changes to opt_settings, enter the variable name at the MATLAB prompt.

```
opt settings
```

This returns

```
Algorithm: 'fmincon'
Display: 'iter'
GradientType: 'basic'
MaxIter: 150
TolCon: 1.0000e-003
TolFun: 1.0000e-003
TolX: 1.0000e-003
Restarts: 0
SearchMethod: []
```

See Also

optimget, simget, simset

Purpose

Randomly sample uncertain parameters

Syntax

```
uset=randunc(N,'P1',Range1,'P2',Range2,...)
```

Description

uset=randunc(N, 'P1', Range1, 'P2', Range2,...) generates random values for the parameters P1, P2, ... subject to the range constraints Range1, Range2, ...

The parameters P1, P2, ... are uncertain parameters in a response optimization project. Each range constraint specifies lower and upper bounds for the uncertain parameter value.

For a scalar-valued parameter, p, specify the range as [Min,Max] or $\{Min,Max\}$. The interpretation is then

```
Min <= p <= Max
```

For vector- or matrix-valued parameters, specify the range as $\{Min,Max\}$ where Min and Max are commensurate vectors or matrices. The interpretation is then

```
Min(i,j) \le p(i,j) \le Max(i,j)
```

The set of uncertain parameter values consists of

- All vertices of the parameter box specified by the upper and lower bounds (2^S values if there are *S* parameters).
- N randomly picked points inside the parameter box, where N is the first input argument to randunc.

To optimize the responses based on uncertain parameter values, set the Optimized property of the uncertain parameter object, uset, to true. By default, this value is set to false.

Use the setunc function to set the uncertain parameter values within the response optimization project.

Example

Create a set of 12 randomly generated uncertain parameter values for the parameters P, I, and D.

```
uset=randunc(4, 'P', {1,4}, 'I', {0.1,0.3}, 'D', {30,40})
```

This returns

```
Optimized: [12x1 logical]
P: [12x1 double]
I: [12x1 double]
D: [12x1 double]
```

Scattered set with 12 parameter vectors.

View the data in detail using dot notation. For example:

```
uset.P

ans =

1.0000
4.0000
1.0000
4.0000
1.0000
4.0000
2.2372
2.8691
2.6946
1.1896
```

To optimize responses based on all the parameter combinations within uset, enter the following command.

```
uset.Optimized(1:end)=true
```

randunc

See Also

gridunc, setunc

Purpose Specify parameter uncertainty in optimization project

Syntax setunc(proj,unc_settings)

Description setunc(proj, unc settings) sets the parameter uncertainty

specifications for the response optimization project, proj. Use the function gridunc or randunc to specify the uncertainty settings,

unc_settings.

Example Create a response optimization project.

proj=newsro('srotut1','Kint');

Specify uncertain parameter settings using gridunc.

uset=gridunc('zeta',[0.9,1,1.1],'w0',[0.95,1,1.05]);

Set the uncertain parameters in the project.

setunc(proj,uset)

See Also gridunc, randunc

Purpose Current simulation settings

Syntax simoptions=simget('proj')

Description

simoptions=simget('proj') returns a object containing the current simulation options, simoptions, used by the response optimization project, proj. To modify the project's simulation settings, use the function simset.

For a detailed list of simulation options and the possible values they can take, see the reference page for the Simulink® function simset. The default values of the simulation options for the project are the same as those used by the Simulink model the project is associated with. Changes that are made to the project's simulation settings are only used during simulations that are run as part of the optimization, and they do not affect the simulation settings for the model.

Example

Create a response optimization project for the snotut1 model.

```
proj=newsro('srotut1','Kint');
```

Get the simulation settings for this project

```
simoptions = simget(proj)
```

This returns

```
simoptions =

AbsTol: 1.0000e-006

FixedStep: 'auto'

InitialStep: 'auto'

MaxStep: 'auto'

MinStep: 'auto'

RelTol: 1.0000e-003

Solver: 'ode45'

ZeroCross: 'on'

StartTime: '0.0'

StopTime: '50'
```

See Also

optimget, optimset, simset

Purpose Modify simulation settings

Syntax simset(proj, 'setting1', value1, 'setting2', value2,...)

Description

simset(proj, 'setting1', value1, 'setting2', value2,...) modifies the simulation settings within the response optimization project, proj. The value of the simulation setting, setting1, is set to value1, setting2 is set to value2, etc.

For a detailed list of simulation options and the possible values they can take, see the reference page for the Simulink® function simset. The default values of the simulation options for the project are the same as those used by the Simulink model the project is associated with. Changes that are made to the project's simulation settings are only used during simulations that are run as part of the optimization, and they do not affect the simulation settings for the model.

Example

Create a response optimization project for the snotut1 model.

```
proj=newsro('srotut1','Kint');
```

Get the simulation settings for this project.

```
simoptions = simget(proj)
```

This returns

```
simoptions =
    AbsTol: 1.0000e-006
FixedStep: 'auto'
InitialStep: 'auto'
    MaxStep: 'auto'
    MinStep: 'auto'
    RelTol: 1.0000e-003
    Solver: 'ode45'
    ZeroCross: 'on'
    StartTime: '0.0'
    StopTime: '50'
```

```
Use simset to change the solver type to ode23 and the absolute
                   tolerance to 1e-7.
                      simset(proj, 'Solver', 'ode23', 'AbsTol',1e-7)
                   Check the new values:
                      sim_settings=simget(proj);
                      sim_settings.Solver
                   This shows that the solver is now set to ode23.
                      ans =
                      ode23
                   Check the absolute tolerance:
                      sim_settings.AbsTol
                   This value is now set to 1e-7.
                      ans =
                        1.0000e-007
See Also
                   optimget, optimset, simget
```

simset

Block Reference

CRMS

Purpose

Compute continuous-time, cumulative root mean square (CRMS) of

signal

Library

Simulink Response Optimization

Description



Attach the CRMS block to a signal to compute its continuous-time, cumulative root mean square value. Use in conjunction with the Signal Constraint block to optimize the signal energy.

The continuous-time, cumulative root mean square value of a signal u(t), is defined as

$$R.M.S = \sqrt{\frac{1}{T} \int_{0}^{T} \left\| u(t) \right\|^{2} dt}$$

The R.M.S value gives a measure of the average energy in the signal.

See Also

DRMS, Signal Constraint

Purpose

Compute discrete-time, cumulative root mean square (DRMS) of signal

Library

Simulink Response Optimization

Description

Discrete RMS DRMS Attach the DRMS block to a signal to compute its discrete-time, cumulative root mean square value. Use in conjunction with the Signal Constraint block to optimize the signal energy.

The discrete-time, cumulative root mean square value of a signal $u(t_i)$, is defined as

$$R.M.S = \sqrt{\frac{1}{N}\sum_{i=1}^{N}\left\|u(t_i)\right\|^2}$$

The R.M.S value gives a measure of the average energy in the signal.

See Also

CRMS, Signal Constraint

Signal Constraint

Purpose

Specify desired signal response

Library

Simulink Response Optimization

Description



Signal Constraint

Attach a Signal Constraint block to the signal to optimize its response to known inputs. Simulink® Response OptimizationTM software tunes parameters in the model to meet specified constraints. The constraints include bounds on signal amplitudes and matching of reference signals. The constraints are applicable to vector- and matrix-valued ports, in which case the signal bounds and reference signals apply to all entries of the signal/matrix.

For a complete discussion of the use of this block, see the Simulink Response Optimization documentation starting with "Product Overview" and Chapter 1, "Approaching Response Optimization in Simulink® Models".

See Also

CRMS, DRMS



Examples

See "Response Optimization Tutorial" in the Getting Started guide.

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